

## Annexure- B

### Disclosure of Investor Complaints

#### Investor Complaints Data to be displayed by Stock Brokers

Data for the month ending – **March-2024**

| Sr. No. | Received from           | Carried forward from previous month | Received during the month | Total Pending | Resolved | Pending at the end of the month |                                | Average Resolution time^ (in days) |
|---------|-------------------------|-------------------------------------|---------------------------|---------------|----------|---------------------------------|--------------------------------|------------------------------------|
|         |                         |                                     |                           |               |          | Pending for less than 3 months  | Pending for more than 3 months |                                    |
| 1       | 2                       | 3                                   | 4                         | 5             | 6        | 7                               |                                | 8                                  |
| 1       | Directly from Investors | 0                                   | 0                         | 0             | 0        | 0                               | 0                              | N.A.                               |
| 2       | SEBI (SCORES)           | 0                                   | 0                         | 0             | 0        | 0                               | 0                              | N.A.                               |
| 3       | Stock Exchanges         | 0                                   | 0                         | 0             | 0        | 0                               | 0                              | N.A.                               |
| 4       | Other Sources (if any)  | 0                                   | 0                         | 0             | 0        | 0                               | 0                              | N.A.                               |
|         | <b>Grand Total</b>      | 0                                   | 0                         | 0             | 0        | 0                               | 0                              | N.A.                               |

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### Trend of monthly disposal of complaints

| SN       | Month              | Carried forward from previous month | Received | Resolved | Pending  |
|----------|--------------------|-------------------------------------|----------|----------|----------|
| <b>1</b> | <b>2</b>           | <b>3</b>                            | <b>4</b> | <b>5</b> | <b>6</b> |
| 1        | April, 2023        | 0                                   | 0        | 0        | 0        |
| 2        | May, 2023          | 0                                   | 0        | 0        | 0        |
| 3        | June, 2023         | 0                                   | 0        | 0        | 0        |
| 4        | July, 2023         | 0                                   | 0        | 0        | 0        |
| 5        | August, 2023       | 0                                   | 0        | 0        | 0        |
| 6        | September,2023     | 0                                   | 0        | 0        | 0        |
| 7        | October, 2023      | 0                                   | 0        | 0        | 0        |
| 8        | November, 2023     | 0                                   | 0        | 0        | 0        |
| 9        | December,2023      | 0                                   | 0        | 0        | 0        |
| 10       | January.2024       | 0                                   | 0        | 0        | 0        |
| 11       | February,2024      | 0                                   | 0        | 0        | 0        |
| 12       | March,2024         | 0                                   | 0        | 0        | 0        |
|          | <b>Grand Total</b> | <b>0</b>                            | <b>0</b> | <b>0</b> | <b>0</b> |

### Trend of annual disposal of complaints

| SN | Year               | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1  | 2019-20            | 0                                  | 0                        | 0                        | 0                              |
| 2  | 2020-21            | 0                                  | 0                        | 0                        | 0                              |
| 3  | 2021-22            | 0                                  | 0                        | 0                        | 0                              |
| 4  | 2022-23            | 0                                  | 0                        | 0                        | 0                              |
| 5  | 2023-24            | 0                                  | 0                        | 0                        | 0                              |
|    | <b>Grand Total</b> | <b>0</b>                           | <b>0</b>                 | <b>0</b>                 | <b>0</b>                       |